

Indian Institute of Insolvency Professionals of ICAI (IIPI)
IPE Enrolment Form (FORM AA)
(Provisional Application)

[Under Regulation 6 (1A) of the Insolvency and Bankruptcy Board of India
(Insolvency Professionals) Regulations, 2016]

To

**The Managing Director,
Indian Institute of Insolvency Professionals of ICAI**

Sub: Application for enrolment as an insolvency professional.

Sir/Madam,

1. I, being duly authorised for the purpose, hereby apply on behalf of **[name of the applicant entity]**, recognised by the Board as an insolvency professional entity with recognition number **[recognition number]**, having registered address at **[registered address of the applicant entity]** for registration as an insolvency professional under section 207 of the Insolvency and Bankruptcy Code, 2016 read with regulation 6 (1A) of the Insolvency and Bankruptcy Board of India (Insolvency Professionals) Regulations, 2016 (IP Regulations). I hereby enclose proof **(certified copy of Board/ Partner's Resolution)** that I am authorised to make this application and correspond with the Board in this respect.

2. PERSONAL DETAILS OF AUTHORISED SIGNATORY

- Name :
- Designation:
- IP Registration No. of Authorised Signatory:
- Name of the Insolvency Professional Entity:.....
- Recognition Number of the Insolvency Professional Entity:
- Mobile No:
- E-mail Id:
- GSTIN (if available):
- DIN / DPIN:

3. ADDITIONAL INFORMATION

- a. Whether the applicant entity is a subsidiary, joint venture or associate of another company or body corporate? (Yes/ No) If yes, please give complete details of such company or body corporate.
- b. Whether the applicant entity and/ or any of its partner or director, as the case may be, is a fit and proper person in terms of regulation 4 of IP Regulations? (Yes/ No)
If yes, please give complete details along with current status.
- c. Please provide any additional information that may be relevant for grant of certificate of Enrolment
- d. Total No of Whole-Time Directors/Partners of IPE:
- e. Details of Whole-Time Directors/Partners of IPE:

Sl. No.	Name	Designation (Whole-Time Directors/ Partners)	DIN NO.	Professional Qualifications (CA/CS/CMA/ lawyer)	CA/CS/CMA/Lawyer Membership No./ Enrolment No.)	IBBI IP Registration No. (as applicable)
1.						
2.						

4. AFFIRMATION

- a. I, on behalf of **[name of the applicant entity]**, affirm that the applicant entity has at all times complied with regulations 12 and 13 of the IP Regulations.
- b. I, on behalf of **[name of the applicant entity]**, affirm that the applicant is eligible to be registered as an insolvency professional.
- c. I, on behalf of **[name of the applicant entity]**, hereby affirm that –
 - i. all information contained in this application is true and correct in all material respects,
 - ii. no material information relevant for the purpose of this application has been suppressed, and
 - iii. Enrolment granted in pursuance of this application may be cancelled summarily if any information submitted herein is found to be false or misleading in material respects at any stage.
 - iv. the applicant entity may be derecognised if any information submitted herein is found to be false or misleading in material respects at any stage.
5. I, hereby confirm that the applicable fee has been paid to the IIIPI vide **[please enter the payment details along with date of making the payment]** and enclose proof thereof.
6. If granted enrolment, I, on behalf of **[name of the applicant entity]**, undertake to comply with the requirements of the Code, the rules, regulations or circulars or guidelines issued thereunder, and such other terms and conditions as may be imposed by the IIIPI while granting the certificate of Enrolment or subsequently.

ATTACHMENTS

- Certified copy of Board / Partners' Resolution authorising the person to make this application and correspond with the Board in this respect.
- Copy of Certificate of Recognition
- Copy of CIN/LLPIN/Certificate of Registration of the applicant
- Copy of PAN of the Applicant
- Copy of GST Registration Certificate of the applicant
- Copy of Memorandum of Association/ LLP Agreement/ Registered Partnership Deed of the applicant

- Copy of Net worth issued by the Chartered Accountant, if any
- Copy of Financial Statements of the applicant (including Provisional Financial Statements as on same date on which net worth of the applicant has been submitted)
- ITR Acknowledgement copy of last three years of all the Whole-Time Directors/Partners of IPE

Yours faithfully,

Authorised Signatory

Place :.....

Date :.....

FOR OFFICE USE ONLY

1. Date of Receipt of Application: _____
2. Receipt Number: _____
3. IPE Enrolment Number: _____
4. Date of Approval: _____

Authorised Signatory